



Collection Statement

AETOS Markets Ltd

01 March 2021

V20210301



AETOS Markets Ltd ('AETOS', 'we', 'our', 'us') is committed to ensuring the confidentiality and security of your personal information. Our Privacy Policy, which details our handling of information, is available upon request or by accessing our website www.aetoscg.com.

1. The entity collecting the information

The contact details of AETOS are as follows:

AETOS Privacy Officer
compliance@aetoscg.com
Level 15, 122 Arthur Street, North Sydney, NSW 2060, Australia

2. Circumstances of collection

We have collected information about you from website enquiry, email, application form and/or telephone enquiry.

3. Purposes of collection

AETOS collects and holds personal information for the purposes set out in the AETOS Privacy Policy.

4. Consequences of not providing us with the information

If you do not provide some or all of the personal information requested by us, we may be unable to provide you with our products or services.

5. Use and disclosure of information

We may use and disclose the personal information we collect about you for the following purposes:

- to assist AETOS in providing a product or service to you;
- to consider and assess your request for a product or service;
- to provide you with information about a product or service and invite you to marketing events;
- to protect our business and other customers from fraudulent or unlawful activity;
- to conduct our business and perform other management and administration tasks;
- to consider any concerns or complaints you may have;
- to manage any legal actions involving AETOS;
- to comply with relevant laws, regulations and other legal obligations; and
- to help us improve the products and services offered to our clients, and to enhance our overall business.

6. Who will the information be disclosed to?

The types of organisations to whom we may need to disclose your personal information to include:



- a related entity of AETOS;
- an agent, contractor or service provider we engage to carry out our functions and activities, such as our lawyers, accountants, debt collectors or other advisors;
- organisations involved in a transfer or sale of all or part of our assets or business;
- organisations involved in managing our payments, payment merchants and other financial institutions such as banks;
- regulatory bodies, government agencies, law enforcement bodies and courts; and
- anyone else to whom you authorise us to disclose it or as required by law.

We will only disclose your personal information to these organisations to enable them to undertake specified management, administration and advisory services.

7. Overseas disclosure

We may disclose personal information to recipients in some circumstances. Any disclosure of personal information to overseas recipients will be in accordance with our Privacy Policy. These recipients may be located in the following countries:

- China
- United Kingdom
- HK
- US
- European Union countries
- Australia

8. Your rights

Our Privacy Policy contains information about how:

- you may access the personal information we hold about you;
- you may seek the correction of your personal information; and
- you may complain about a breach of applicable law, including the APPs; and
- AETOS will deal with a privacy complaint.

9. Marketing material

We may use personal information we collect from you to send marketing material from time to time, unless you elect not to receive the material. We will not provide your personal information to any third party other than in accordance with our Privacy Policy.

ACKNOWLEDGEMENT SECTION:

- I acknowledge that I have received and read AETOS's Collection Statement.
- I do not wish to receive any promotional material from AETOS.

SIGNED:



DATE:

NOTE TO AETOS EMPLOYEE COMPLETING THIS STATEMENT:

Checklist for completion of this Statement:

Individuals can only provide their personal information to AETOS once they have received a copy of the Collection Statement and signed the acknowledgement section.

Individuals must review in detail, the following sections of the Collection Statement:

- *5: Use and disclosure of information ;*
- *7: Overseas disclosure; and*
- *8. Your rights.*